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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/910,354	07/20/2001	Kevin A. Jarrell	2003320-0032	2372
24280	7590 02/13/2006		EXAMINER	
CHOATE, HALL & STEWART LLP			VOGEL, NANCY S	
TWO INTERNATIONAL PLACE BOSTON, MA 02110			ART UNIT	PAPER NUMBER
,			1636	

DATE MAILED: 02/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No.	Applicant(s)
09/910,354	JARRELL ET AL.
Examiner	Art Unit
Nancy T. Vogel	1636

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on <u>28 November 2005</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required.

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THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:  1. Amendments to the specification:  A. Amended paragraph(s) do not include markings.  B. New paragraph(s) should not be underlined.  C. Other <u>See Continuation Sheet</u> .
<ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37 CFR 1.72.</li> <li>B. Other</li> </ul>
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul>
<ul> <li>□ 4. Amendments to the claims:</li> <li>□ A. A complete listing of all of the claims is not present.</li> <li>□ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>□ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>□ D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>□ E. Other:</li> </ul>
For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website a

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <a href="http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf">http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf</a>.

#### TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- 1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted within the time period set forth in the final Office action.
- 2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action.

<u>Extensions of time</u> are available under 37 CFR 1.136(a) <u>only</u> if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

#### Failure to timely respond to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Continuation of 1(c) Other: The CRF for Sequence Listing is defective. See the attached Error Report..

NANCY VOGEL, PH.D.
PATENT FXAMINER

### STIC Biotechnology Systems Branch

# RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/910, 3548Source: 11/28/2005

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission

  User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
  U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
  Alexandria, VA 22314

Revised 01/24/05



IFW16

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/910,354B

DATE: 11/28/2005

TIME: 11:30:01

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\11282005\I910354B.raw

3 <110> APPLICANT: Jarrell, et al., Kevin

5 <120> TITLE OF INVENTION: Modular Vector Systems

7 <130> FILE REFERENCE: 2003320-0032

9 <140> CURRENT APPLICATION NUMBER: 09/910,354B

10 <141> CURRENT FILING DATE: 2001-07-20

12 <160> NUMBER OF SEQ ID NOS: 24

14 <170> SOFTWARE: PatentIn version 3.2

Does Not Comply
Corrected Diskette Needed

ERRORED SEQUENCES

306 <210> SEQ ID NO: 24 307 <211> LENGTH 33

308 <212> TYPE: DNA

309 <213> ORGANISM: Artificial Sequence

311 <220> FEATURE:

312 <223> OTHER INFORMATION: PCR primer 1NT3'KAN for amplifying an Ori(s).

314 <400> SEQUENCE: 24

E--> 315 gageteactt ageaaggega aaacteteaa ggae

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 11/28/2005 PATENT APPLICATION: US/09/910,354B TIME: 11:30:02

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\11282005\1910354B.raw

### Invalid Line Length:

The rules require that a line not exceed 72 characters in length. This includes spaces.

Seq#:5; Line(s) 74
Seq#:6; Line(s) 87
Seq#:12; Line(s) 163
Seq#:15; Line(s) 202

VERIFICATION SUMMARY

DATE: 11/28/2005

PATENT APPLICATION: US/09/910,354B

TIME: 11:30:02

Input Set : A:\PTO.RJ.txt

Output Set: N:\CRF4\11282005\I910354B.raw

L:45 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:3

L:315 M:254 E: No. of Bases conflict, LENGTH:Input:33 Counted:34 SEQ:24 L:315 M:252 E: No. of Seq. differs, <211> LENGTH:Input:33 Found:34 SEQ:24